



Neuronal adhesion and differentiation driven by nanoscale surface free-energy gradients

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ABSTRACT

Recent results indicate that, in addition to chemical, spatial and mechanical cues, substrate physical cues such as gradients in surface energy may also impact cell functions, such as neuronal differentiation of PC12 cells. However, it remains to be determined what surface effect is the most critical in triggering PC12 cell differentiation. Here we show that, beyond continuously probing the surface energy landscape of their environment, PC12 cells are highly sensitive to nanoscale chemical heterogeneities. Self-assembled monolayers of alkylsiloxanes on glass were used as a culture substrate. By changing the structure, ordering and chemical nature of the monolayer, the surface energy distribution is altered. While both well-ordered CH₃ terminated substrates and bare glass (OH terminated) substrates did not favor PC12 cell adhesion, PC12 cells seeded on highly disordered CH₃/OH substrates underwent enhanced adhesion and prompt neuritogenesis by 48 h of culture, without nerve growth factor treatment. These data illustrate that surface free-energy gradients, generated by nanoscale chemical heterogeneities, are critical to biological processes such as nerve regeneration on biomaterials.

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1. Introduction

Neuronal differentiation is critical to nervous tissue regeneration after injury, and adhesion on a substrate is critical for neurite extension [1–3]. The initiation and guidance of a neurite rely on extra-cellular signals, such as substrate energy of adhesion (e.g., surface energy, or surface tension) [4], especially local gradients [5]. Hence, it is of great interest to unveil the substrates characteristics that are effectively sensed by the growth cone, and translated into neurite extension as a response to these physical cues. The ability to spatially control the distribution of the energy of adhesion is of particular interest in many biomedical and tissue-engineering applications.

The interactions of cells, especially neurons, with nanoscale topography [6–9], and with surface chemistry [10–12], were reported to be important parameters in controlling cell function. Another parameter, substrate compliance, influences both neuritogenesis [13–16] and neurite branching rate [17]. Thus, a combination of spatial, chemical and mechanical inputs, together with the genetic program of the cell, has been recently proposed to

control the shape and functions of cells, as well as of tissues [18]. However the exact role of the surface tension and its spatial variation is still unclear and a systematic study may lead to a better understanding of the surface adhesion parameters that drive neuritogenesis.

Self-assembled monolayers (SAMs) are surface-active materials with many potential applications in biotechnology [19,20]. SAMs of alkylsiloxanes on glass can exhibit a wide range of properties, including the chemical nature, the surface roughness, and the organization of surface-exposed terminal groups. These combined properties generate, at the nanoscale level, distinct surface energy distributions, and at larger scale, macroscopic surface characteristics such as wettability. SAMs of alkylsiloxanes on glass [21,22] or on titanium [23] have been shown to be suitable substrates for controlling cell adhesion, and in particular for controlling neuronal cell differentiation [10,21,23].

PC12 cells, though not primary neuronal cells, express the transmembrane TrkA and p75 receptors to nerve growth factor (NGF) [24,25], and differentiate into a neuronal phenotype when challenged by appropriate NGF concentrations [26]. This ability makes them a well-defined model to study neuronal differentiation mechanisms, and thus axonal regeneration. Several key inducers of PC12 cell neuronal differentiation in NGF-free medium have been identified: PC12 cell neuritogenesis is observed on soft substrates

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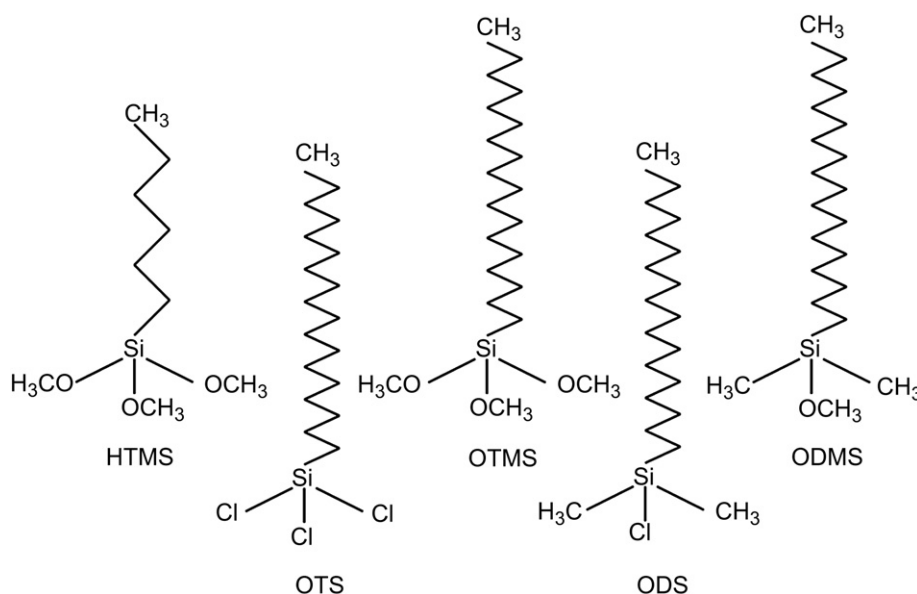


Fig. 1. Schematics of methyl-terminated molecules used to modify glass surfaces. Molecules were grafted onto clean glass surfaces by chemisorption from the liquid phase. HTMS, OTMS and ODS cross-link during SAMs formation, contrary to ODMS or ODS, that can bind glass surfaces through only one bond, following hydrolysis of their unique OCH₃ or chlorine leaving group.

composed of extra-cellular matrix (ECM) proteins such as collagen, fibronectin and laminin [27], or of ECM derived from astrocytes [28].

In our previous study [5], we demonstrated the differentiation ability of PC12 cells in NGF-free medium when seeded on solid glass substrates covered with NH₂-terminated alkylsiloxane SAMs. These surfaces contained a nanoscale mixture of hydroxyl and amine groups which provided local gradients in surface energy. However, we did not determine whether the trigger of PC12 cell differentiation was the surface nanoroughness, the surface concentration in terminal amines, the alternation of OH and NH₂ groups, or a combination of these factors.

Here we examined the influence of these potential triggers, again by tailoring various silanes on glass [29], which provide cell culture substrates. We used methyl-terminated silanes that offer two main advantages compared to aminosilanes. First, because of the smaller reactivity of CH₃ compared to NH₂ groups, the control over adsorption process is easier. It is noted that the highly reactive leaving groups of silanes molecules (chlorine and methoxy) react with the silanol groups and/or adsorbed water on the glass surface and are present on the side of the molecule close to the glass surface, away from CH₃ terminal groups (Fig. 1). Second, vibrational spectroscopy which is used for the surface characterization is more reliable in the CH stretching region (2800–3000 cm⁻¹) than in the NH stretching region (3100–3500 cm⁻¹) where the broad OH vibrational spectrum of adsorbed water smears out the NH peaks. Furthermore, CH groups do not provide polar component to the surface free energy (SFE), thus facilitating the SFE calculations and SFE analysis.

2. Materials and methods

2.1. Chemicals

Chemicals were obtained from Acros Organics (Geel, Belgium), Sigma–Aldrich (St. Quentin Fallavier, France), ABCR (Karlsruhe, Germany), Fisher Scientific (Illkirch, France) and Carlo Erba Reagents (Val de Reuil, France). The sources and purity of the chemicals used are summarized in Table 1.

2.2. Substrates preparation

Modified glass slides (SuperFrost[®], 25 × 75 × 1 mm³, Menzel-Glaser, Braunschweig, Germany) were used for optical studies, and modified glass coverslips (30-mm

diameter and 100-μm thick, Menzel-Glaser) were used for cell culture experiments. Prior to use, glassware was cleaned by immersion in piranha solution (3:1 (v/v) sulfuric acid:40% hydrogen peroxide), then thoroughly rinsed with deionized water and dried under a nitrogen stream (*caution: piranha solution is extremely corrosive and can react violently with organic compounds. Appropriate safety precautions including gloves and face shield should be used when handling.*). Glass coverslips were cleaned by immersion in ultrasonic bath of chloroform for 20 min prior to immersion in piranha solution. For the self-assembly, the cleaned glass substrates were immersed into solutions (Table 2) of the desired alkylsilanes (Fig. 1). The chemically modified substrates were then rinsed with the neat solvent. Prior to cell culture, the substrates were dried under a laminar flow hood and prior to surface characterization, the substrates were dried under a nitrogen stream. All treatments were carried out at room temperature and in ambient atmosphere (relative humidity ≈ 50%).

2.3. Surface characterization

2.3.1. Fourier transform infrared spectroscopy

Fourier transform infrared spectroscopy (FTIR) spectra were measured in the transmission geometry at a normal incidence angle using a Bruker Optics TENSOR 27

Table 1
Chemicals used for surface modification and contact angle measurements.

	Manufacturer	Purity (%)
Deionized water (Elga UHQ PS MK3)	Veolia Water Systems	($\rho = 18.2 \text{ M}\Omega\cdot\text{cm}$)
Hexanes (HX) (mixture of isomers)	Sigma–Aldrich	≥98.5 (ACS) ^a
Methanol (MET)	Carlo Erba Reagents	>99.9 (HPLC) ^a
Acetic acid (AA)	Carlo Erba Reagents	99.9 (RPE)
Sulfuric acid	Sigma–Aldrich	95–97
Hydrogen peroxide	Sigma–Aldrich	40 (m/v in H ₂ O)
Chloroform (CF)	Carlo Erba Reagents	>99.8 (ACS)
n-Hexyltrimethoxysilane (HTMS)	ABCR	97
n-Octadecyltrimethoxysilane (OTMS)	Acros Organics	95
Octadecyldimethylmethoxysilane (ODMS)	ABCR	95
n-Octadecyltrichlorosilane (OTS)	Acros Organics	95
Octadecyldimethylchlorosilane (ODS)	Sigma–Aldrich	95
Glycerol (GL)	Acros Organics	≥99
Formamide (FA)	Fisher Scientific	≥99.5
n-Hexadecane (HD)	Acros Organics	99
Tetradecane (TD)	Sigma–Aldrich	≥99
n-Dodecane	Fisher Scientific	>98
n-Undecane	Acros Organics	99
n-Octane	Acros Organics	95

^a Water content is <0.01% (v/v).

Table 2

Description of the chemical processes used to modify glassware. The abbreviations in capital letters refer to the chemicals displayed in Table 1.

Substrate	Solution	Adsorption time	Rinsing solvent(s)	Note(s)
ots	0.1% OTS + 20% CF + 80% HD	15 min	CF and MET	^a
ods	0.1% ODS + 20% CF + 80% HD	15 min	CF and MET	^{a,b}
otms	1% OTMS + 99% HX	4 h	HX and MET	
otms _x	1% OTMS + 99% HX	4 h	HX and MET	^a
odms ₁	1% ODMS + 99% HX	~10 h	HX and MET	^a
odms ₂	1% ODMS + 99% HX	>24 h	HX and MET	
htms _{SM1}	2% HTMS + 94% MET + 4% H ₂ O + 1 mM AA	~16 h	MET	
htms _{SM2}	2% HTMS + 94% MET + 4% H ₂ O + 1 mM AA	~24 h	MET	
htms _{SM3}	2% HTMS + 94% MET + 4% H ₂ O + 1 mM AA	>72 h	MET	
htms _H	1% HTMS + 99% HX	4 h	HX and MET	
htms _{Hx}	1% HTMS + 99% HX	4 h	HX and MET	^a

^a Solution was slowly agitated with a rotating magnet all along the reaction.

^b ODS was slightly heated until it reached a liquid phase (at 28–30 °C), just before being added to the solvent solution.

spectrometer equipped with a DTGS detector. FTIR spectra were background corrected by subtraction of a spectrum of the clean, bare substrate (e.g., SAM-free), and recorded by integrating 200 scans with a resolution of 4 cm⁻¹.

2.3.2. Vibrational sum-frequency generation

Sum-frequency generation (SFG) is a surface vibrational spectroscopy based on a second-order non-linear optical process in which two laser beams with frequencies ω_1 and ω_2 overlap on the surface and generate a coherent response whose frequency is the sum of two incident laser ($\omega_{SFG} = \omega_1 + \omega_2$). In the electric dipole approximation, second-order non-linear optical processes, including SFG, do not take place in media with inversion symmetry but do occur at interfaces as the inversion symmetry is necessarily broken there. Therefore, by selecting one of the incident beams to be in the IR region ($\omega_1 = \omega_{IR}$) and the other in the visible region ($\omega_2 = \omega_{vis}$), SFG can be used as a powerful spectroscopic technique to measure surface vibrational spectra [30]. A detailed description of our SFG set up can be found elsewhere [31]. Briefly, IR and visible pulses with energies of 15 and 2 μ J, incident at the surface with the angles of 72° and 65° respectively, were focused to beam waists of 250 and 200 μ m, respectively. The SFG signal was detected with a CCD (Princeton Instrument) coupled with a spectrograph (300i, Acton Research Corp.). The polarization of visible and SFG were controlled by a combination of polarizers and half-wave plates. In the experiments presented here, the polarization combination of SFG, visible, and IR were either S,S,P or P,P,P.

2.3.3. Contact angle measurements

Contact angles (θ) were measured as described in Ref. [5]. Briefly, an image of the profile of a drop on a solid surface was recorded using a CCD video camera (Sony DXC-101P). The image was then processed with ImageJ software (Wayne Rasband, National Institutes of Health, Bethesda, MD) using the Contact Angle plug-in (Marco Brugnara, University of Trento, Trento, Italy), which calculates the contact angle value from the profile of the drop. At least 3 drops per sample were analyzed.

2.3.4. Determination of surface free energy

The surface free energies (SFE) of samples were calculated using the Owens–Wendt theoretical model [32]. This model gives the long-range dispersion (Lifshitz–Van der Waals; γ^d) and the short-range polar (hydrogen bonding; γ^p) components of SFE according to the following equation:

$$W_{SL} = (1 + \cos \theta) \gamma_L = 2(\gamma_S^d)^{1/2} (\gamma_L^d)^{1/2} + 2(\gamma_S^p)^{1/2} (\gamma_L^p)^{1/2} \quad (1)$$

where γ_S is the SFE of the surface, γ_L is the SFE of the liquid and W_{SL} is the solid–liquid interface energy. Two liquids were used as probes for SFE calculations: n-hexadecane, and H₂O. For a liquid, the overall surface tension (γ_L) is a combination of dispersive and polar components, whose values are indicated in Table 3 (adapted from Ref. [33]). The contact angles of n-hexadecane and H₂O were reported in Eq. (1) for each solid substrate, then sample SFE components were calculated.

The critical surface tension γ_c was calculated using the Fox–Zisman approximation. In this work, it can be understood as a first-order approximation of the Good–Girifalco equation [35], for a surface tension of the liquid γ_L ($\gamma_L \geq \gamma_c$) close to the γ_c of the solid. Using a linear regression analysis, Zisman plots, $\cos \theta = f(\gamma)$, were traced for each substrate by fitting the data obtained with the test liquids. γ_c values were read where the line fits intersect $\cos \theta = 1$, as described by Zisman [34].

Table 3

Values of the surface tension (γ , mN m⁻¹) of some test liquids at 20 °C (adapted from Ref. [33]). γ^d and γ^p are respectively the dispersive and the polar components of the surface tension.

Liquid	γ	γ^d	γ^p
Water	72.8	21.8	51
Glycerol	64	34	30
Formamide	58	39	19
n-Hexadecane	27.47	27.47	~0
Tetradecane	26.56	26.56	~0

2.3.5. AFM imaging

Each substrate was analyzed using a Bioscope™ AFM (Digital Instruments/Veeco) in air using tapping mode (RTESP tip cantilever, spring constant: 40 N m⁻¹). The root-mean-square (rms) roughness of the surfaces was evaluated for regions of ~1 μ m × 1 μ m, by AFM software Nanoscope (Veeco). The line scanning frequency was ~0.5 Hz (256 scan lines × 512 pixels). The images were flattened using Nanoscope software before the rms was evaluated.

2.4. PC12 cell manipulation

Unless otherwise specified, the biological products in this section were purchased from Invitrogen (Fisher Bioblock Scientific, Illkirch, France).

2.4.1. Cell culture

PC12 cells (ATCC, CRL 1721) were maintained in Dulbecco's Modified Eagle Medium containing horse serum (5%), fetal calf serum (5%, HyClone), non-essential amino acids (1%) and antibiotics (1%). In the experiments, PC12 cells (passage numbers 7–17) were seeded onto modified glass coverslips, that had been sterilized by immersion in a solution of 70% methanol and 30% H₂O for 15 min. Cells were seeded in a small volume of the culture medium ($V = 335 \mu$ L), in order to trap PC12 cells on the top of the modified substrates. The cell density at the time of seeding was ~10⁴ cm⁻². Experiments never exceeded 48 h. No further addition of culture medium was made and, in particular, no NGF was added to the culture medium.

2.4.2. Quantification of neuritogenesis

The propensity of PC12 cells to initiate neurites was evaluated on each substrate. At least 10 pictures ($S = 0.182 \text{ mm}^2/\text{picture}$) of the cells cultured on each substrate were taken with a camera mounted on a phase-contrast microscope (Nikon Eclipse TS100), using a ×20 objective. In order to avoid confusing neurites with cell soma protrusions or filopodia, only neurites with length greater than 25 μ m were counted. This threshold length corresponds to approximately the diameter of a PC12 cell soma multiplied by 1.5.

2.4.3. Immunofluorescence

PC12 cells were cultured on each substrate, as described above. After 48 h of culture, cells were fixed with 3.7% formaldehyde in PBS for 15 min and then permeabilized with 0.1% Triton X-100 in PBS/0.1% bovine serum albumin (BSA) for 20 min. All washes, blocking steps, and antibody dilutions were performed using 0.1% BSA, 0.01% Triton® X-100 in PBS. After cell fixation and permeabilization, the primary antibody anti-MAP1B (Sigma–Aldrich; diluted 1:800) was incubated overnight at 4 °C. A secondary Cy3-conjugated antibody (Jackson ImmunoResearch, Cambridgeshire, UK; diluted 1:400) was incubated for 2 h at room temperature. DNA was stained with 4–6-diamidino–2-phenylindole (DAPI) at 0.5 g/mL for 15 min. F-actin was stained with phalloidin coupled to Alexa Fluor 488 (Molecular Probes) at 5 units/mL for 30 min. Finally cells were extensively washed in PBS and mounted in a Fluoromount G™ solution (Southern Biotech). Cell observation was done with a Nikon Eclipse E600 epifluorescence microscope coupled to a high resolution colour camera (Nikon DXM 1200), using ×10 and ×50 objectives. No threshold processing was applied to the images.

3. Results and discussion

Molecules (noted in capital letters) of various chain lengths and chemical nature (Fig. 1) were chosen to generate distinct surfaces (noted in small letters), according to their mechanism of adsorption and monolayer formation on glass. The resulting substrates were divided into three different classes (Fig. 2) with regard to nanoscale surface organization and hence to distribution of surface energy. Class 1 SAMs are well-ordered with an all-trans conformation of the alkyl chains. Class 2 SAMs, while eliminating multilayer formation due to the impossibility of the monomers undergoing cross-linking, are disordered. Moreover,

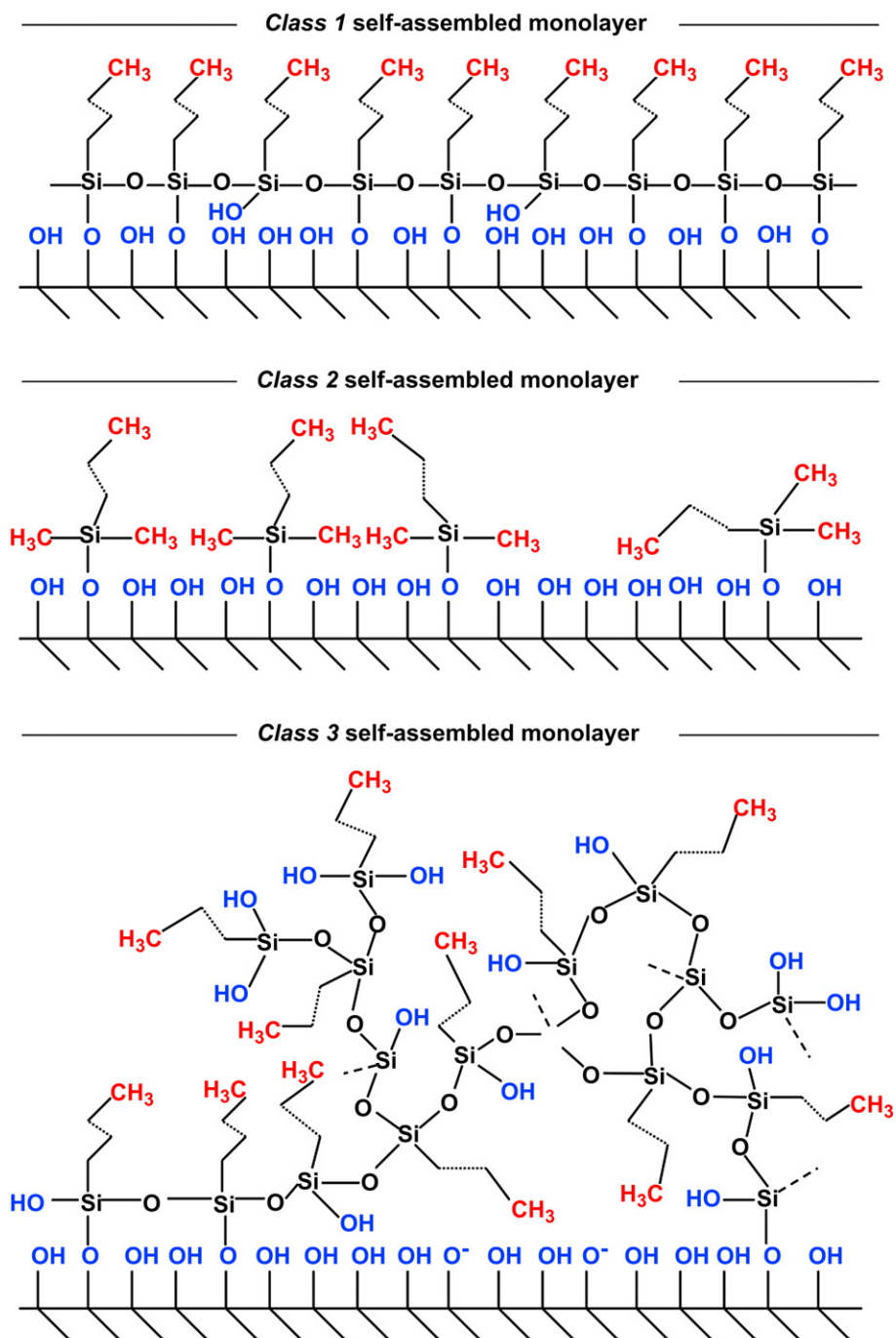


Fig. 2. Sketches representing three distinct organizations of SAMs used as substrates for PC12 cell culture. Class 1 SAMs are well-ordered with an all-trans conformation of alkyl chains. Class 2 SAMs are disordered but limited to monolayer formation. Class 3 SAMs are highly disordered, with possible multilayer formation and higher chemical heterogeneity.

class 2 SAMs likely are accompanied by a significant density of substrate silanol groups (Si–OH), compared to class 1 SAMs. This is a consequence of class 2 monolayer adsorption being incomplete by nature. Class 3 SAMs are the result of chaotic polymerization of a trialkoxysilane. The chemisorption of siloxanes is believed to require activation of the siloxane whereby the leaving group (e.g., OCH₃ in HTMS) is replaced by OH from water. When the solvent solution contains more than the trace amount of water necessary for adsorption reaction to occur, methoxy groups are quickly hydrolyzed before chemical adsorption on the silica

surface. As a result, the molecules polymerize through their silanol groups. It is the resultant polymer that chemically binds the silica surface.

In view of intrinsic properties of both molecules and solvent solutions (Table 2) it was expected that class 1 SAMs would be generated by OTS and OTMS molecules, that class 2 SAMs would be the result of ODS and ODMS adsorption, and that HTMS would generate either class 1 or class 3 SAMs according to the solvent solution used. The particular properties of each class of substrates are described here after.

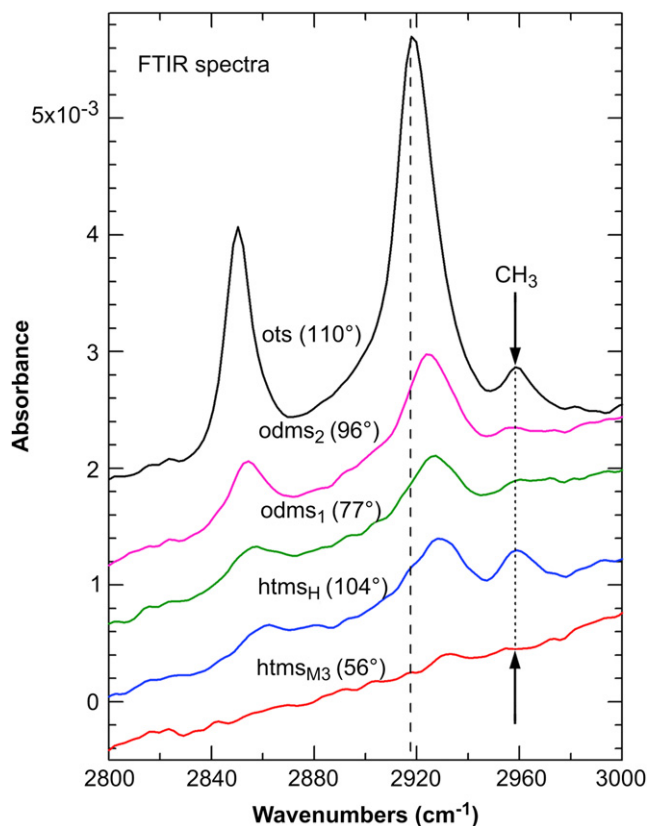


Fig. 3. FTIR spectra in the CH region of some substrates. FTIR spectra were background corrected by subtraction of a spectrum of the clean glass substrate (e.g., SAM-free). Spectra are offset for clarity. Peaks at $\sim 2850\text{ cm}^{-1}$, $\sim 2920\text{ cm}^{-1}$, and $\sim 2955\text{ cm}^{-1}$ are respectively assigned to $\text{CH}_2\text{-ss}$, $\text{CH}_2\text{-as}$, and $\text{CH}_3\text{-as}$. Surface density of glass-bound alkylsiloxanes is reflected by the intensity of peaks, that depends on molecules alkyl chain length (for CH_2 peaks only) and on molecules organization inside the monolayers. This latter aspect is developed in the SFG spectra (Fig. 4) of the same substrates. The dashed line at $\sim 2918\text{ cm}^{-1}$ represents close packed long chain SAM obtained for the ots substrate. Values in parentheses indicate the water contact angle on each substrate.

3.1. Quantitative analysis of substrates by FTIR spectroscopy

Modified substrates were probed by FTIR in the CH stretching region (Fig. 3). The “ideal” and well-studied monolayer made of OTS molecules is known to lead to a full coverage of the bare glass surface [36]. As expected, its FTIR spectrum in the CH region displayed the most intense peaks among all other SAMs studied. The CH peak intensity of odms substrates was lower than those of ots substrates, although the alkyl chain length is identical (Fig. 1). This indicates that odms₁ and odms₂ substrates have fewer molecules grafted per unit area. The higher intensity of CH_2 symmetric ($\text{CH}_2\text{-ss}$) and asymmetric ($\text{CH}_2\text{-as}$) stretches, in odms₂ compared to odms₁ substrate, can result from a higher quantity of adsorbed material, as supported by the higher contact angle value. In contrast to these surfaces, the htms_{M3} substrates showed almost no visible CH peak, suggesting that the quantity of material grafted is under the detection limit of the FTIR spectrometer. Nevertheless, the presence of a non-negligible amount of CH groups at htms_{M3} surface was suggested by the relatively high contact angle measured for water (56°) while a bare glass surface would undergo complete wetting (e.g., $\theta_{\text{H}_2\text{O}} \approx 0^\circ$).

The intensity of the CH peaks of htms_H substrates was similar to that of odms substrates, although the HTMS molecule contains fewer CH_2 groups than ODMS (5 versus 17). This apparent

discrepancy could result from monomers cross-linking, probable with HTMS, but impossible with ODMS. The binding of HTMS monomers to each other laterally would increase the number of adsorbed molecules per area. Surprisingly, the intensity of the CH_3 peak of htms_H substrate was even greater than that of the ots substrate. AFM experiments revealed that the rms roughness of htms_H substrate is remarkably higher ($\sim 1.4\text{ nm}$) than that of the others substrates ($\sim 0.3\text{ nm}$) which are supposed to display one single organic monolayer on the bare glass surface. These results suggest that the htms_H modified surface is partially over covered by additional layers of HTMS molecules, possibly physisorbed on it. To determine whether the glass-bound layer of HTMS, and of the other molecules was coherently organized, a non-linear spectroscopic analysis was performed.

3.2. Qualitative analysis of surface-exposed CH groups organization by SFG

SFG spectra of ots and htms_H (Fig. 4) displayed similar peaks, though the FTIR spectra of the two substrates were different (Fig. 3). The specificity of SFG to non-centrosymmetry ensures that the spectra emphasize the CH_3 , as opposed to CH_2 groups present in an all-trans centrosymmetric environment. Both substrates formed ordered SAMs. This is evidenced from two well-defined peaks in the SSP spectra, at $\sim 2875\text{ cm}^{-1}$ and $\sim 2955\text{ cm}^{-1}$, respectively assigned to the $\text{CH}_3\text{-ss}$ and Fermi Resonance (FR) of CH_3 [37,38]. The absence, or the very small intensity, of CH_2 peaks (at $\sim 2850\text{ cm}^{-1}$ and $\sim 2925\text{ cm}^{-1}$) further supported the formation of class 1, all-trans, SAMs (sketched in Fig. 2). In the PPP spectra, peaks at $\sim 2965\text{ cm}^{-1}$ are assigned to $\text{CH}_3\text{-as}$ [37,38]. Their intensity is consistent with the interpretation of the SSP spectra and in general with the formation of well-ordered SAMs.

SFG spectra of odms₁, odms₂ and htms_{M3} indicated that the SAMs they formed were much more disordered compared to the class 1 SAMs discussed above. This is demonstrated in the PPP spectra by the absence of peak at $\sim 2965\text{ cm}^{-1}$ ($\text{CH}_3\text{-as}$), or by very small and broad peaks that overlapped with peaks at $\sim 2930\text{ cm}^{-1}$ ($\text{CH}_2\text{-as}$). The SSP spectra of these three substrates also confirmed the disordered organization of these surfaces. First, peaks at $\sim 2875\text{ cm}^{-1}$ ($\text{CH}_3\text{-ss}$) were broader and/or smaller than that of ots and htms_H substrates, second, $\text{CH}_3\text{-FR}$ peaks (at 2955 cm^{-1}) overlapped with $\text{CH}_2\text{-as}$ peaks (at $\sim 2920\text{--}2930\text{ cm}^{-1}$). And finally, the greater intensity of these latter peaks reflected gauche defects, a signature of surface-exposed CH_2 groups. Interestingly, the SSP spectra featured a $\text{CH}_3\text{-ss}$ peak that is more intense for odms₂ than for odms₁ and htms_{M3}. Together with the contact angle value and FTIR spectra, this may indicate that the odms₂ substrate exhibited a few coherently arranged CH_3 groups, with a large number of ODMS molecules covalently bound to the glass surface. However, the odms₂ substrate does not appear to be as ordered as the class 1 SAMs.

Of all the substrates, htms_{M3} substrate displayed the least intense peaks in the SFG spectra. This result could reflect the smaller amount of adsorbed molecules on glass, as indicated by both FTIR spectra and water contact angles (56° for htms_{M3} versus 77° and 96° for odms₁ and odms₂, respectively). In particular, htms_{M3} and htms_H were characterised by distinctive spectra, demonstrating that one molecule can lead to wholly different surface properties according to the conditions of grafting in solution.

However, SFG spectra could not convincingly characterize the differences between class 2 SAMs (odms₁ and odms₂) and class 3 SAMs (htms_{M3}). Therefore, further analysis was required to discriminate class 2 and class 3 SAMs.

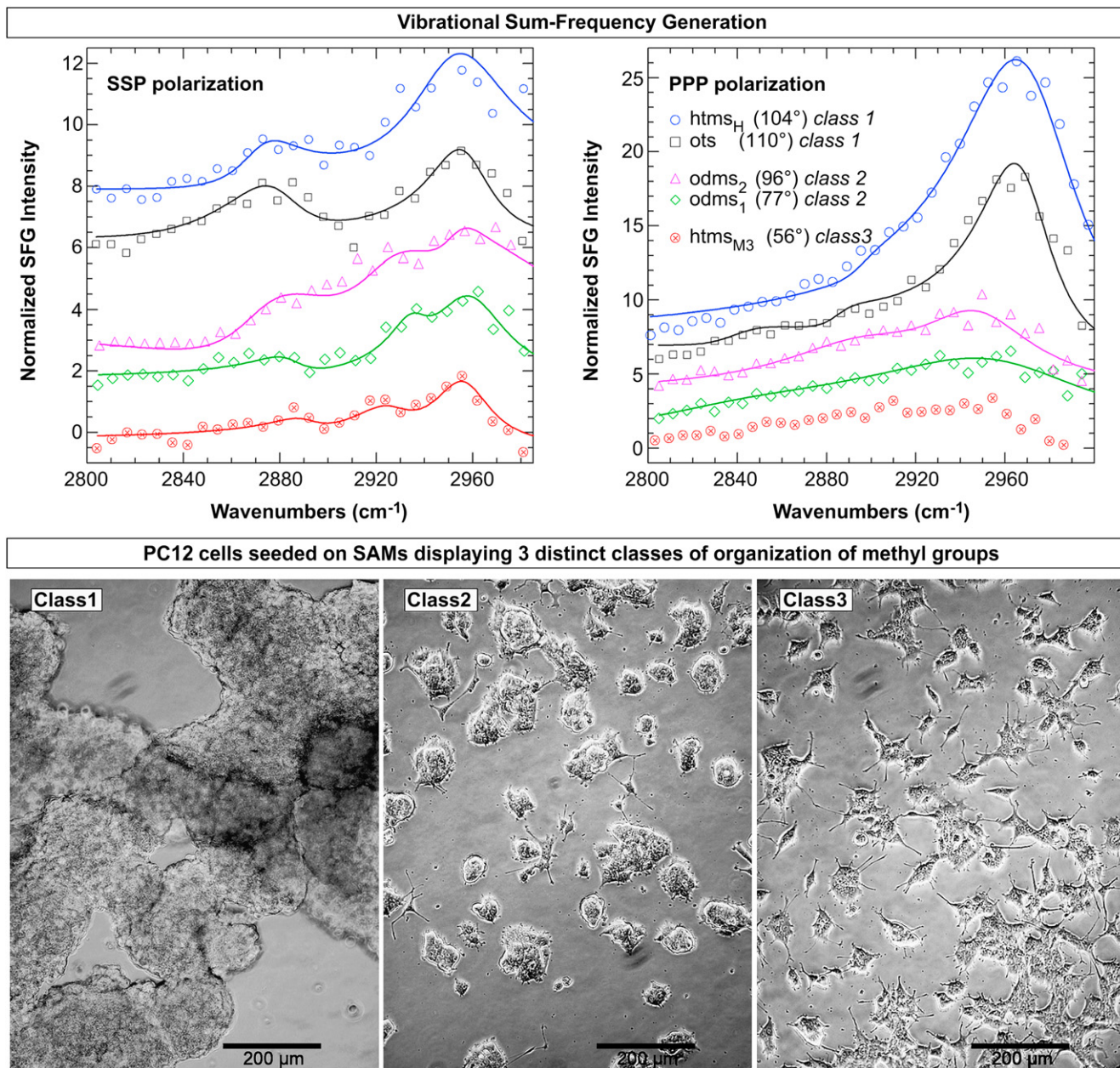


Fig. 4. SFG spectra of SAMs used as model culture substrates and PC12 cell adhesion and differentiation, without NGF treatment, on substrates 48 h after seeding. Top graphs feature SFG traces in the CH region for the substrates also analyzed by FTIR in Fig. 3. Spectra are offset for clarity. The polarization combination for SFG, visible and IR is S,S,P for left top graph, and P,P,P for right top graph. Clear surface organization (e.g., intense CH₃-group peaks and absence of CH₂ peaks) arises in class 1 substrates, compared to the others. Values in parentheses indicate the water contact angle on each substrate. PC12 cells have three distinct fates according to substrate class: no adhesion on class 1 SAM, relatively poor adhesion and neurite outgrowth on class 2 SAM, and good adhesion preceding neuronal differentiation on class 3 SAM. Observations were made 48 h after seeding with a contrast phase microscope.

3.3. SFE determination by contact angle measurements

Data obtained for contact angles, SFE, and roughness analysis, are recapitulated in Table 4. The overall surface tension γ_s of class 1 substrates ots and htms_H was mostly composed of the dispersive component γ^d (Fig. 5), the polar contribution γ^p being close to zero. It suggests that very few OH groups were exposed, considering that γ^p is not generated by CH₃ groups. This result supports the close arrangement of monomers and its corollary: the complete coverage of the glass substrate. A similar result was obtained for the otms substrate, whose SFG spectra resemble those of class 1 SAMs (data not shown for clarity).

As expected, class 2 substrates odms₁, and ods (whose SFG spectra were similar to those of odms substrates—data not shown), displayed a more intense γ^p compared to class 1 substrates. This suggests the presence of surface-exposed OH groups, in agreement with the presence of disorganized alkyl chains and low intensity of FTIR peaks. The surface tension of an almost perfectly homogeneous CH₃ substrate is given by the γ_s of the ots substrate ($\sim 21.6 \text{ mN m}^{-1}$), close to the γ_c of the same substrate ($\sim 19.9 \text{ mN m}^{-1}$) obtained by a Zisman plot (Fig. 6). For a clean, bare glass substrate, estimations vary from 150 to 300 mN m^{-1} [39,40]. Consequently, it is of considerable importance to remark that, though the added contribution of γ^p to γ_s for class 2 substrates is

Table 4
Data collected from contact angle measurements and AFM. Contact angles (θ) were measured using water, glycerol (GL), formamide (FA), tetradecane (TD), and n-hexadecane (HD) as test liquids. Uncertainty on a measured contact angle was statistically estimated to be less than 1° . $\Delta\theta$ is the difference between advancing and receding contact angles of water. The critical surface tension (γ_c , $\pm 1.5 \text{ mN m}^{-1}$) was determined from Zisman plots, displayed in Fig. 6, using contact angles of all test liquids. The polar (γ_s^p) and dispersive (γ_s^d) components of the overall surface tension (γ_s , $\pm 1.0 \text{ mN m}^{-1}$) of the solid substrates were determined from the water and n-hexadecane contact angles. Values of the root-mean-square roughness ($\pm 0.1 \text{ nm}$) are the mean of three independent measurements.

		ots	ods	otms	odms ₁	odms ₂	htms _{M1}	htms _{M3}	htms _H	htms _{Hx}
$\theta_{\text{H}_2\text{O}}$	deg	110	82	105	77	96	38	56	104	98
θ_{GL}	deg	99	77	92	68	81	34	51	91	87
θ_{FA}	deg	90	68	89	58	75	31	45	83	83
θ_{HD}	deg	40	31	29	13	24	6	11	34	21
θ_{TD}	deg	35	7	15	–	–	–	–	26	12
γ_c	mN m^{-1}	19.9	23	23.7	26.7	24	26.5	25.7	22.7	24.9
γ_s^d	mN m^{-1}	21.5	23.7	24.2	26.8	25.2	27.3	27	23.1	25.8
γ_s^p	mN m^{-1}	0.1	6.9	0.3	8.2	1.6	32.6	20.9	0.6	1.2
γ_s	mN m^{-1}	21.6	30.6	24.5	35	26.8	59.9	47.9	23.6	26.9
rms	nm	0.3	0.3	0.3	0.3	0.3	0.9	0.3	1.4	0.4
$\Delta\theta$	deg	12	17	12	15	11	32	22	30	12

relatively small ($1.6 \text{ mN m}^{-1} \leq \gamma^p \leq 8.2 \text{ mN m}^{-1}$), locally the surface energy gradients can reach much higher values ($20 \text{ mN m}^{-1} \leq \gamma_c \leq 150 \text{ mN m}^{-1}$).

Class 3 substrates, e.g., htms_{M1} and htms_{M3}, displayed higher γ^p ($\geq 20.9 \text{ mN m}^{-1}$) than both class 1 ($\leq 0.6 \text{ mN m}^{-1}$) and class 2 substrates ($\leq 8.2 \text{ mN m}^{-1}$). The smaller γ^p of htms_{M3} ($\sim 20.9 \text{ mN m}^{-1}$) compared to that of htms_{M1} ($\sim 32.6 \text{ mN m}^{-1}$) indicates that more HTMS molecules adsorbed on glass in htms_{M3}. As htms_{M3} was very smooth (rms $\approx 0.3 \text{ nm}$), it appears that HTMS may have bound to the majority of substrate silanol sites still available for adsorption. Moreover, $\theta_{\text{H}_2\text{O}}$ could not be further increased by lengthening the time of adsorption in the methanol/water solution, supporting the conclusion of HTMS optimal adsorption in these conditions. Nevertheless, the quantity of adsorbed HTMS was relatively small, as evidenced by the absence of peaks in the htms_{M3} FTIR spectrum (Fig. 3). Therefore the greater part of the γ^d contribution to the γ_s of htms_{M3} was provided by OH groups. As a result htms_M substrates exhibited a chemical pattern that was mostly glass-like, with a heterogeneous distribution of CH₃ groups. Conversely, class 2 SAMs mostly exhibited CH₃ groups, together with more (odms₁ and ods) or less (odms₂) scattered OH groups.

odms₂ and htms_{Hx} are particular substrates. odms₂ substrate concentrated more molecules than odms₁, as evidenced by the FTIR spectra, and its γ_s components resembled those of class 1 substrates, whose γ^p was close to zero. However, the γ^p of odms₂

($\sim 1.6 \text{ mN m}^{-1}$) was higher than the γ^p of class 1 substrates ($\gamma^p < 0.6 \text{ mN m}^{-1}$). Moreover, the SFG spectra evidenced the disordered organization of CH groups in odms₂ substrate. Therefore odms₂ cannot be considered as sharing properties of class 1 substrates. htms_{Hx} substrate was made by agitating the solution in order to prevent HTMS molecules from over covering the substrate (rms $\approx 0.3 \text{ nm}$ for htms_{Hx} versus $\sim 1.4 \text{ nm}$ for htms_H). However, this process also apparently slowed the reaction kinetics, resulting in an incomplete monolayer. This is evidenced by $\theta_{\text{H}_2\text{O}}$ on htms_{Hx} (98°), smaller than $\theta_{\text{H}_2\text{O}}$ on htms_H (104°). This suggests that the htms_{Hx} substrate exhibited some OH groups that contributed to a small γ^p ($\sim 1.2 \text{ mN m}^{-1}$), and though originally forming a class 1 SAM, it did not share the same surface energy distribution. The SFG spectra of the otms_x substrate featured similar traces than those of class 2 substrates (data not shown for clarity), and $\theta_{\text{H}_2\text{O}}$ was also smaller on otms_x (100°) than on otms (105°). Consequently, htms_{Hx} and otms_x substrates shared the properties of class 2 substrates.

The values of γ_c , determined by Zisman plots (Fig. 6 and Table 4), were in agreement with previous results. γ_c can be assimilated to γ^d of solid substrates [41], and the gap between γ_c and γ^d never exceeded 1.6 mN m^{-1} (Table 4). In addition γ_c was always smaller in “ordered” substrates than in “disordered” substrates made of similar molecules. For instance, following relations were obtained: γ_c (ots) $<$ γ_c (ods), γ_c (otms) $<$ γ_c (odms₂) $<$ γ_c (odms₁), and γ_c (htms_H) $<$ γ_c (htms_{Hx}) $<$ γ_c (htms_{M3}) $<$ γ_c (htms_{M1}). More generally, γ_c values were in the same range ($19.9 \text{ mN m}^{-1} < \gamma_c < 26.7 \text{ mN m}^{-1}$), thus supporting the idea that substrates are rather comparable in terms of nanoscale SFE distribution than in terms of overall surface tension.

The difference between advancing and receding contact angles of water (noted $\Delta\theta$) is a good control to evaluate physical roughness and/or chemical heterogeneity of surfaces [42–44]. $\Delta\theta$ values were in good agreement with both rms roughness and SFE distribution. Among smooth substrates whose rms roughness was $\sim 0.3 \text{ nm}$, those generating the smallest γ^p also generated the smallest $\Delta\theta$ (Table 4). On ods and odms₁ substrates, whose γ^p was higher than that of ots, otms, odms₂, htms_H and htms_{Hx} substrates (Fig. 5 and Table 4), $\Delta\theta$ was higher as well (~ 15 – 17° versus $\sim 12^\circ$). It was further higher on htms_{M3} ($\Delta\theta \approx 22^\circ$) whose surface tension is locally more heterogeneous. Finally, the largest values ($\Delta\theta \approx 30^\circ$) were obtained for substrates exhibiting high roughness: htms_{M1} (rms $\approx 0.9 \text{ nm}$) and htms_H (rms $\approx 1.4 \text{ nm}$).

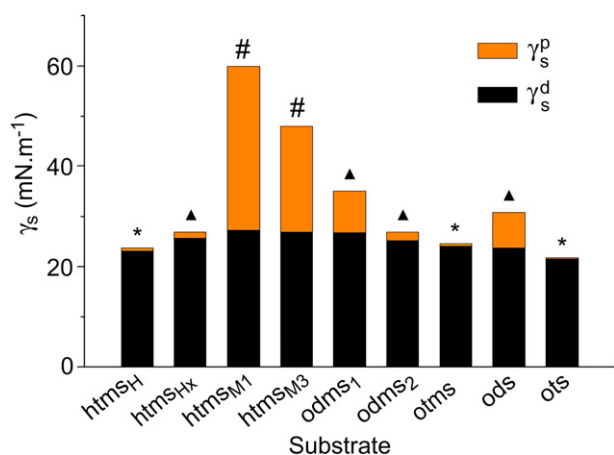


Fig. 5. SFE components γ^d and γ^p of solid substrates. γ^d and γ^p were calculated through the measurements of water and n-hexadecane contact angles, displayed in Table 4, using the Owens–Wendt theoretical model. Notes indicate PC12 cell fate on substrates 48 h after seeding: either the cells did not adhere (*), or they adhered, regrouped in clusters, and initiate few neurites (▲), or the adhesion was enhanced and the cells generated many neurites (#).

3.4. PC12 cell adhesion and differentiation on modified substrates

When seeded on a clean, bare glass substrate, PC12 cells poorly adhered and tend to detach by 48 h. On well-ordered SAMs, such

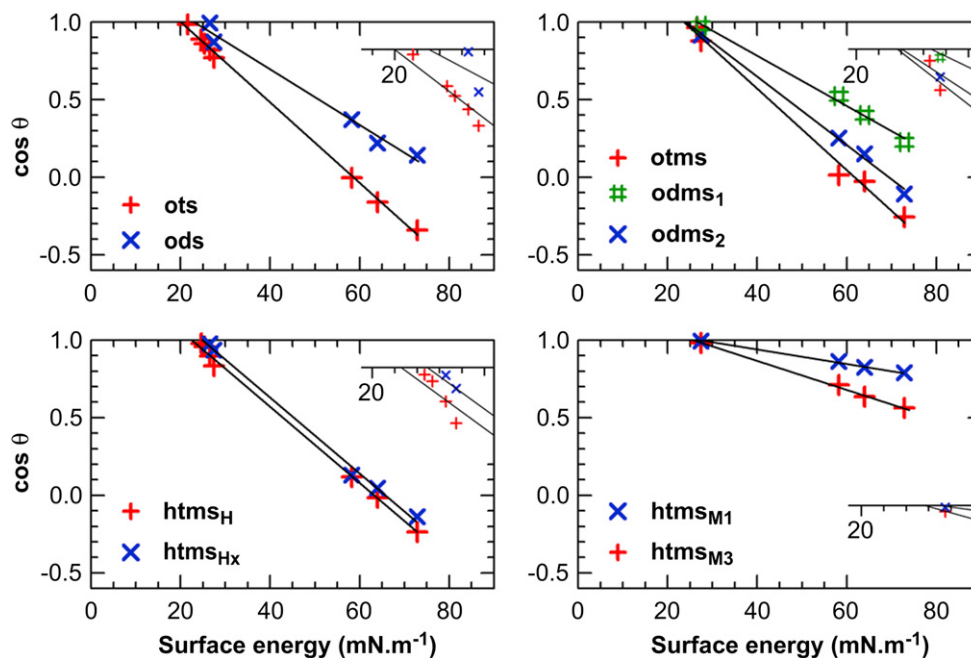


Fig. 6. Zisman plots used to determine the critical surface tension (γ_c) of solid substrates. γ_c values were read where the line fits intersect $\cos \theta = 1$ (numerical values are displayed in Table 4). For each substrates the line fit resulted in $R^2 > 0.99$, except for the ods substrate ($R^2 > 0.985$). Insets: enlargement of the area where the line fits intersect $\cos \theta = 1$ (e.g., γ_c).

as class 1 substrates, PC12 cells did not adhere at all, and clusters of cells were observed that floated over the surface (Fig. 4). This reveals the poor affinity of PC12 cells for substrates exclusively composed of OH groups, or of CH₃ groups. On the contrary, cells did adhere on class 2 and on class 3 SAMs, as well as on incomplete class 1 SAMs. Therefore, it appears that adhesion is favored as soon as some disorder is introduced in the surface arrangement of CH₃ groups. We further hypothesize that is because OH groups, pointing out from the surface, are accessible to the cells. In addition, PC12 cells initiated more neurites (Figs. 4 and 7) on class 3

SAMs (more than 50 mm⁻²) than on class 2 SAMs or on incomplete class 1 SAMs (less than 20 mm⁻²). All in all, it appears that, the more locally heterogeneous is the surface, the more PC12 cells generate neurites. These results are in complete agreement with those of our previous study [5], and provide further evidence that surface disorder, and thus local gradients in surface energy, can trigger neuritogenesis in PC12 cells albeit the absence of NGF treatment.

Our results also indicate that PC12 cells were not highly sensitive to the nanoroughness of our substrates. Cell adhesion and neuritogenesis were similar in ots (rms \approx 0.3 nm) and in htms_H (\sim 1.4 nm). They were also similar in htms_{M1} (\sim 0.9 nm) and in htms_{M3} (\sim 0.3 nm) substrates (Fig. 7). A potential explanation to this result is that the nanoroughness of our substrates is too low to have a critical influence on PC12 cell adhesion and differentiation. In addition, neither the hydrophobicity degree, nor the surface concentration of methyl groups seemed to profoundly affect PC12 cell behavior. The propensity of cells to adhere and differentiate were not significantly different in class 2 SAMs odms₁ ($\theta_{H_2O} = 77^\circ$) and odms₂ (96°), or in class 1 SAMs ots (110°) and htms_H (104°), while differences were obvious between class 2 SAM odms₁ (77°) and class 3 SAM htms_{M3} (56°), or between class 1 SAM htms_H (104°) and incomplete class 1 SAM htms_{Hx} (98°). As a result the chemical heterogeneity, that is the alternation between CH₃ and OH groups at the nanoscale level, seemed to be the determinant factor in generating the surface energy gradients that the cells were able to sense.

The dimension for which these gradients may become effective is still questionable, since the gradients can theoretically emerge out from the surface as soon as a few single OH groups become accessible to the cells. However, actin-based processes such as lamellipodial and filopodial activity can probe the substrate at a dimension of \sim 150 μ m [5,45], which may indicate the dimensional range for which the gradients become effective and thus sensed by the cells. Therefore, a potential explanation for this sensing might reside in the translation of the local gradients through lamellipodia and filopodia that can be mediated by external factors, such as calcium transients [46,47].

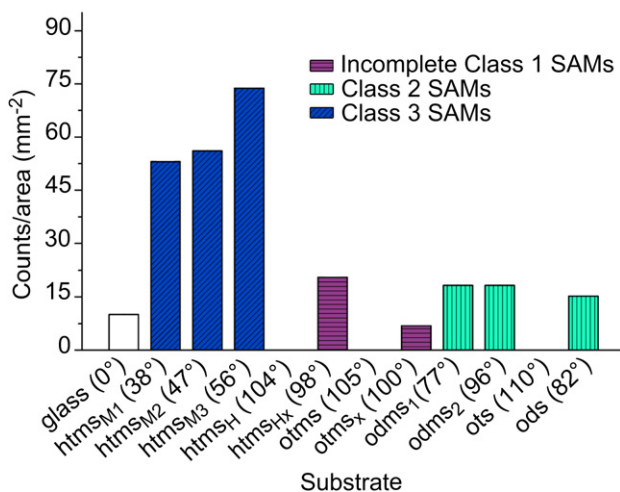


Fig. 7. Propensity of PC12 cells to initiate neurite outgrowth without NGF treatment, according to the substrate, 48 h after seeding. Several pictures of cells were taken and the number of grown neurites ($L > 25 \mu$ m) was counted on each substrate. The data are from one experiment representative of at least three independent measurements. They reflect typical differences between substrate classes. On class 1 SAMs, cells did not adhere, and thus did not initiate any neuritis. Conversely, on class 2 SAMs and incomplete class 1 SAMs, cells adhered and initiate few neurites. On class 3 SAMs, adhesion was enhanced and cells generated more neurites. The values in parentheses indicate the water contact angle on each substrate.

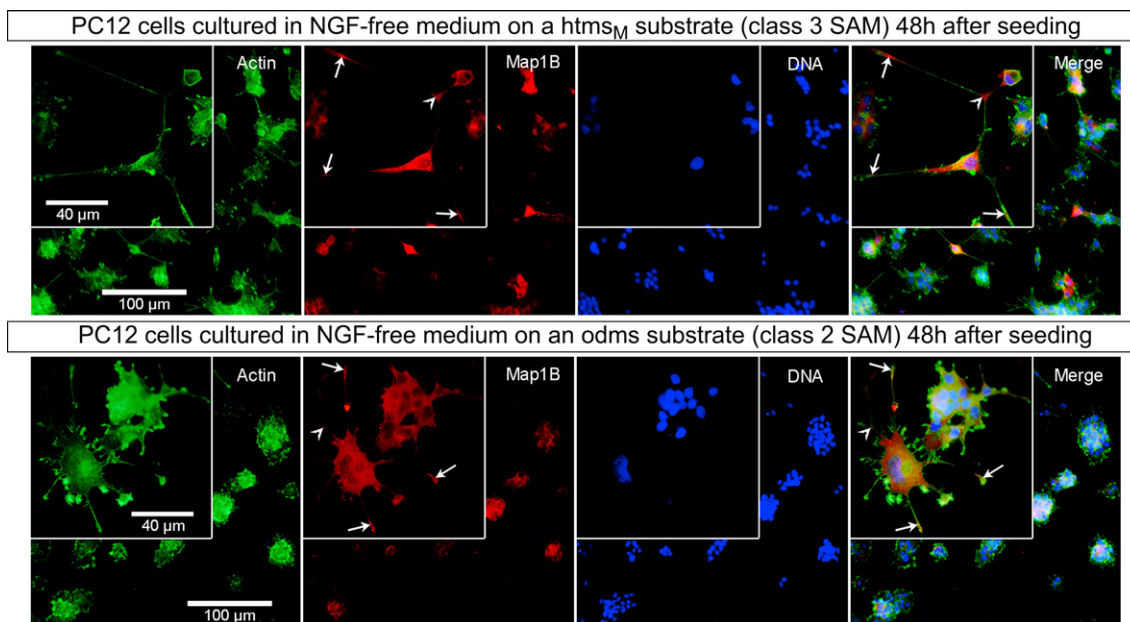


Fig. 8. MAP1B expression and localization in PC12 cells cultured on htms_M and odms substrates, without NGF treatment. MAP1B signal is stronger in isolated cells than in clustered cells. More cells display a strong signal and cells are more often apart on an htms_M substrate than on an odms one, where cells rather tend to group in clusters. Inset boxes: arrows point at higher local concentrations of MAP1B upstream of the growth cones (plain arrows) and at branching/turning points (broken arrows).

Though PC12 cells adhered on moderately disordered class 2 SAMs, they tended to gather in clusters (Figs. 4 and 8), suggesting that these substrates were not optimal, and that cell–cell interactions were favored over cell–substrate adhesive strengths, a typical feature of PC12 cells [48]. Conversely, on highly disordered class 3 SAMs, cells scattered across the surface, with single cells tended to spread (Figs. 4 and 8). This indicates a strong adhesion to the substrate, together with cells showing signs of polarization, what may prefigure neurite extension [49]. This can be correlated with the higher γ_s of class 3 substrates ($\geq 47.9 \text{ mN m}^{-1}$) over class 2 substrates ($\leq 35 \text{ mN m}^{-1}$), in agreement with the dependence of cell-aggregates spreading rate over the substrate adhesivity, that was reported in Ref. [50].

A criterion to evaluate neuronal differentiation is a high level of expression of neuronal markers proteins like MAP1B. MAP1B is a neuron-specific protein involved in microtubule assembly [51]. NGF treatment stimulates MAP1B expression together with PC12 cell differentiation [52]. As expected, high levels of fluorescence reflecting MAP1B concentration was detected in cells that underwent neurite outgrowth on class 3 and on class 2 SAMs, typically in isolated cells or at the periphery of cell clusters, rather than in cells trapped in clusters (Fig. 8). Better adhesiveness allowed more cells to remain apart, not to cluster, on class 3 substrates, whose ability to promote adhesion and to trigger neuronal differentiation of PC12 cells was thereby stronger than that of class 2 substrates. In view of these results, it can be suggested that PC12 cells would adhere but not differentiate if they were seeded on an NH₂-terminated class 1 SAM, as observed on smooth substrates such as bare glass coated with poly-L-lysine or poly-L-ornithine [5].

MAP1B localization in differentiated cells was similar whether PC12 cells were seeded on class 2 or on class 3 substrates (Fig. 8; inset boxes). MAP1B was mostly displayed in the cell soma. Interestingly, MAP1B was also displayed upstream of growth cones (Fig. 8; plain arrows), and at branching/turning points of the neurites (Fig. 8; broken arrows), that is where microtubules are highly dynamic. These results indicate that cell–substrate interactions can mimic NGF effects, leading PC12 cells to start neuritogenesis.

The fact that it only took 48 h for neurites to extend up to $\sim 100 \mu\text{m}$, compared to 4–6 days in our previous study [5], might be related to the reduced volume of medium ($V = 2 \text{ mL}$ to $V = 335 \mu\text{L}$) used for cell seeding in these experiments. Considering that cells might respond to surface energy gradients, by secreting neurotrophic factors, like NGF, their concentration in the cell environment would be higher in this experimental set. Higher concentrations of NGF, for example, are expected to facilitate the activation of signalling pathways leading to neurite outgrowth.

4. Conclusions

In this study, we manufactured culture substrates by distinct chemical treatments of bare glass surfaces in order to obtain an acute control of substrate physical and chemical cues that may be sensed by the cells. We introduced a new perspective on self-assembled-monolayers (SAMs) used as a culture substrate, by ranking them in three distinct classes, including highly ordered surface (class 1), moderately ordered surface (class 2), and highly disordered surface (class 3). Highly surface specific techniques have been used to characterize the substrates. In addition to commonly used FTIR and AFM, the analysis combined SFG, a non-linear optical technique that unveils the surface ordering, with wetting experiments, using the Owens–Wendt model that distinguishes dispersive and polar components of the surface free energy. Taken together, the results harmoniously combined to ascribe to each substrate class a distinct nanostructured organization that generated a specific surface free-energy distribution. Thence, we identified what is the most important parameter involved in generating the SFE gradients that the cells were able to sense. Of all the factors analyzed, including surface nanoroughness, wettability, chemical affinity, terminal-groups concentration, and nanoscale chemical heterogeneities, we demonstrate that, in our experiments, it is nanoscale chemical heterogeneities that have a critical influence on both the adhesion and the differentiation of PC12 cells. Moreover, we show that PC12 cells can reach a fully stable state of differentiation by 48 h of culture on rigid model surfaces (class 3 SAMs of

alkylsiloxanes on glass), without nerve growth factor treatment. Earlier experimental data demonstrating the influence of substrate factors, such as mechanical, spatial and chemical cues, on neuronal cell functions, would gain in being reappraised in light of this new criterion (e.g., substrate nanoscale chemical heterogeneities) and, in turn, future experiments will have to challenge it. It is reasonable to assume that other systems, in addition to PC12 cells, such as primary neurons or astrocytes, may be dramatically affected by nanoscale surface organization. Therefore, future design of biomaterials may integrate local gradients in surface free energy as a mean to enhance regeneration of hippocampal or cortical neurons for instance. In addition, future experiments should investigate the mediators of the nanoscale SFE gradients. In particular, it should be determined whether PC12 cells can respond to these substrate physical cues directly or through components of the culture medium such as calcium and serum proteins.

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Appendix

Figures with essential colour discrimination. Most of the figures in this article have parts that are difficult to interpret in black and white. The full colour images can be found in the on-line version, at doi:10.1016/j.biomaterials.2010.01.099.

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